

## REMARKS

### Status of Claims

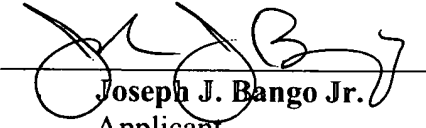
5 Claims 1 - 5 are present for examination. and the Examiner has rejected Claims  
1 - 5 under Simpson, et al U 2002/0090725 and Burgess et al, US 6,559, 119.

10 Applicants presented six pages of single spaced remarks in a response received  
on 09/14/06. Those remarks are reproduced below but are presented with space and a  
half spacing and with 12 point font to make the remarks more readable when rescanned.

The remarks provided in the referenced response of 09/14/06 are intended to  
show why the two references cited should not be applied. In addition, it is unclear

15 Claim 1 is now amended to make the claim more definite. It should be noted that  
the mat produced by electrospinning and offers the advantages, pointed out in the  
specification, that unlike molded plastic lens material, the invention lens will permit the  
movement of water between and through the fibers and it is believed that this wicking  
feature is unique to the claimed invention lens. In addition, the use of an alternating  
source overcomes the problem associated with charge build up on fibers that results in  
20 whipping. The Examiner's earlier paper rejected claims 1 - 5 under 35 USC 103  
possibly by combining the two references. As the claims are now narrower, the Examiner is  
requested to advise applicant where there is a suggestion in the references for their  
combination. Absent a suggestion for combination, it would appear that the Examiner  
is benefiting from the teaching in the subject application and making the combination in  
25 hindsight.

30 Respectfully Submitted,

  
Joseph J. Bango Jr.  
Applicant,

Phone (203) 393-9666

Fax (203) 393-9777

35 Mail Correspondence to:  
Conn. Analytical Corporation  
Attn: Joseph J. Bango, CEO  
696 Amity Road

Bethany, CT 06524

5

**INSERTED MATERIAL FROM RESPONSE FILED ON 09/14/06  
FOLLOWS**

Examiner: **MATHIEUU D. VARGOT**

Serial Number: **10/735,451**

:Group 1732

10 Filed: 12/12/03

:Phone (571) 272 1211

:FAX (703)

:Date 09/14/06

**Re: Application For:**

**FABRICATION OF IMPROVED CONTACT LENS UTILIZING  
POLYMER ELECTROSPINNING**

15

**SUPPLEMENT TO FIRST AMENDMENT AND REPLY MAILED 09/18/06**

**Mail Stop: NON-FEE AMENDMENT**

**Hon. Commissioner of Patents and Trademarks**

20 **PO BOX 1450**

**ALEXANDRIA, VA 22313-1450**

Title: Fabrication of improved contact lens using polymer electrospinning

25 In response to the Office Action of 5/15/06, the Applicant respectfully submits the following:

Our patent application claims have been cited as conflicting conflict with the following prior art:

30 Simpson, et al., US-2002/0090725

Burgess et al., US-6,559,119

In regards to our (Fuerst et al) application, we would respectfully take issue with these two citations. Simpson et al describes an incorporeal use of electrospun fibers. Our  
35 patent articulates an "extracorporeal" use of electrospun fibers. Simpson et al does not describe an ex-vivo use in contact with an aqueous solution and the skin, as may

describe a topical ophthalmic use such as a contact lens, so they imply any extra-corporeal use as being covered by their disclosure. If that were the case, then electrospun garments for example, which are ex-vivo and in contact with the body would be similarly restricted by their pending IP. In fact, electrospun materials of all types have been in use in the public domain for much of the 20th century, fabricated using a plethora of polymers. I argue that a refractive correction contact lens was not anticipated by Simpson et al and not intended as a scaffold for in vivo use, the electrospinning fabrication of which has been in the public domain for nearly a hundred years. Our novelty exists in the use of electrospun material for refractive correction and/or ex vivo therapeutic use, not as a scaffold, where fluid and gaseous diffusion surpass existing contact lens materials and fabrication methods. Additionally, our application is not just for collagen, but also current contact lens materials such as HEMA (hydroxyl ethyl methacrylate the most commonly used soft contact lens material.)

15

## DISCUSSION

### **Simpson et al**

The cited invention of Simpson et al describes electroprocessing as an all encompassing technology that includes electrospray, electrospinning, electrospinning, etc. (see claims 1-9, 11-14, 16-24). The applicant would like to respectfully bring to the attention of the examiner that co-inventor John Fenn, (2002 recipient of the Nobel Prize in Chemistry), won this prize for his work that began in the early 1980s, for creating gas phase ions of organic macromolecule using electrospray. Such molecules include proteins and peptides. Further, such proteins and peptides represent long polymer chains, and therefore are in fact fibers . On page 43988, Federal Register, 40, July 29, 2005, the definition of the aspect ratio of a fiber (in this case, asbestos fibers) states "a particulate form of asbestos 5 micrometers ( $\mu$ m) or longer with a length-to-diameter aspect ratio of at least 3-to-1".

30

A fiber, as defined by the National Institute of Health, is a molecular species whose length is 3 times its width. Thus, in truth, electrospray is a form of electrospinning. In fact, both the electrospray process and electrospinning have been practiced since the turn of the 20th century.

5

One of the foremost authorities besides John Fenn, Ph.D., is Darrell Reneker, Ph.D. from the University of Akron. The process of electrospinning fibers from a multitude of materials has been well documented in the literature well before Simpson et al attempted to patent said.1-7.

10

Our efforts, in light of numerous electrospun materials, is to patent the novel use of electrospinning to manufacture a contact lens. Our claim hinges upon the reality that electrospinning has been around for a number of years, but that no one has attempted to utilize this process for ophthalmic use, and more specifically, as a way to manufacture contact lenses. Electrospinning a contact lens is unique and novel, because the current process utilizing HEMA and silicon hydrogel begin with a solid button of material. Oxygen permeability depends on the porosity of the material. There is a never ending search for more materials that are able to provide good wettability concurrent with oxygen transmission through the lens material (Dk/t). The process of electrospinning nanofibers will provide interfibrillar spacing that will dramatically increase the flow of oxygen and, hence, a physiologically healthier contact lens.

15

Given the citation of Simpson et al, a little background is in order.

#### Electrospray Background

25

Electrospray has emerged as the predominant technique for producing intact ions in vacuo from large and complex species in solution. To an extent greater than has previously been possible with the more familiar soft ionization methods, this technique makes the power and elegance of mass spectrometric analysis applicable to the large and fragile polar molecules that play such a vital role in biological systems.

30

The distinguishing feature of electrospray spectra for large molecules are coherent sequences of peaks whose component ions are multiply charged, the ions of each peak

differing by one charge from those adjacent neighbors in the sequence. Spectra have been obtained for biopolymers including oligonucleotides and proteins, the latter having molecular weight up to and beyond 130,000, with as yet no evidence of an upper limit! Mass spectrometry consists in weighing individual molecules by transforming them

5 into ions in vacuo and then measuring the response of their trajectories to electric and magnetic fields, or both. Attempts to extend the sensitivity and accuracy of mass spectrometric methods to the analysis of large polar organic molecules of interest in biology and medicine have long been frustrated by the difficulties of transforming such molecules into gas-phase ions. They cannot be vaporized without extensive, even

10 catastrophic, decomposition. Consequently, one cannot apply classical methods of ionization that are based on gas phased encounters of the molecule to be ionized with electrons as in electron ionization, or electronically excited atoms or molecules. Such encounters can remove a negatively or positively charged entity from a neutral molecule, or sometimes attach one, thus transforming it into a gas phase ion.

15 In the last 25 years, experimentalists have developed a number of so-called soft ionization methods that have been used with varying degrees of success to produce intact ions from molecular species of ever increasing size and decreasing vaporizability. One class of such methods is based on very rapid deposition of energy on a surface over which the species to be analyzed (analyte) has been dispersed. The underlying idea, first

20 proposed by Beuhler et.al, is that sufficiently rapid energy input may bring about vaporization before decomposition has a chance to take place (1).

The several methods differ in the way that rapid energy deposition is brought about. In plasma desorption (PD), it results from the impact of a fusion product of a radioactive

25 isotope, usually Californium-252. So-called secondary ionization mass spectrometry (SIMS) makes use of an incident beam of high-energy ions, such as 40-keV and will therefore here be referred to here as fast ion bombardment (FIB). If the ions are neutralized by charge exchange before they strike the surface, FIB becomes FAB (for fast atom bombardment). In laser desorption (LD), photons are the vehicle for energy

30 deposition. These energy-sudden techniques have been able to produce intact ions

from remarkably large analyte species, even though, in an overall sense, the processes involved are highly irreversible.

Striking improvements have resulted from dispersing the analyte not on a bare surface  
5 but in a layer of suitable matrix, for example, thioglycerol for FAB or FIB,  
nitrocellulose for PD, and nicotinic acid for LD. At this writing the highest molecular  
weights of ions that have been produced are with LD 210,000; (2), with FAB (or FIB)  
24,000; (3), and, (4) with PD 45,000. However, product ion currents are usually very  
small and, except in the case of LD, decrease rapidly with increasing molecular weight  
10 of the analyte.

When the ions are very large, their detection with the multipliers requires post  
acceleration voltages that are sometimes awkwardly high. Furthermore, the ions often  
have high levels of internal excitation that can cause substantial peak broadening as a  
15 result of predissociation. Quite different in practice and principle from these violent  
ionization methods are techniques that use strong electrostatic fields to extract ions from  
a substrate. In so-called field desorption (FD) ionization, the analyte molecules are  
applied to a fine wire on whose surface is deposited an array of sharp pointed needles or  
whiskers. When the wire is placed in a vacuum system and a high voltage is applied  
20 while it is carefully heated, the analyte molecules desorb as ions from the tips of the  
needles where the field strength is very high (5). Even though it can transform highly  
nonvolatile analytes into ions in vacuo, FD has not been widely used because sample  
preparation is tedious. Finding and maintaining the combination of temperature and  
voltage that is right for a particular species requires both luck and the right touch.  
25 Finally, the desorbed ions have such high energies that relatively expensive magnetic  
sector analyzers must be used for their analysis.

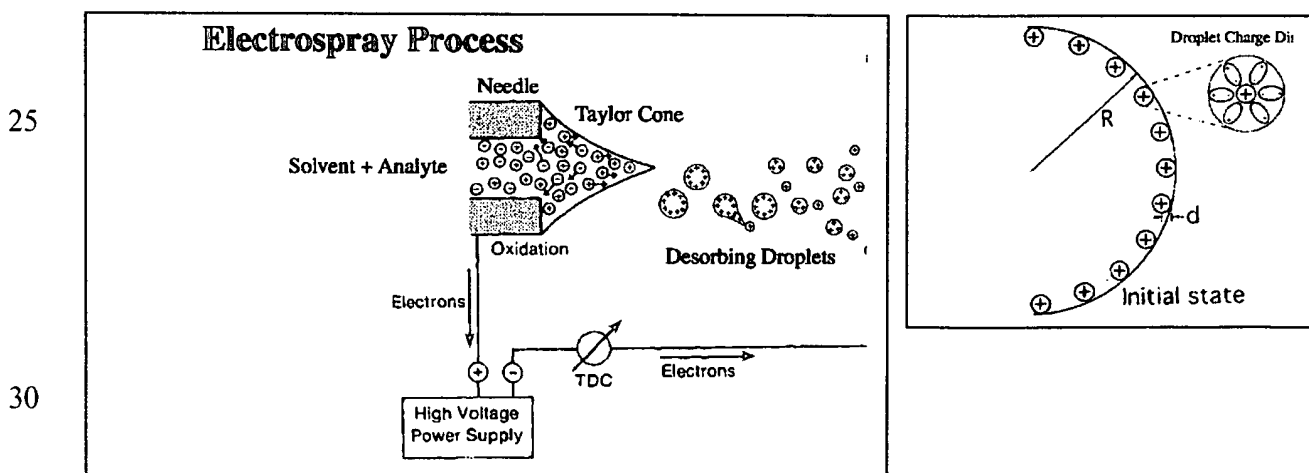
In electrospray ionization (ESI), the analyte is dissolved in a nonvolatile liquid  
(for example, glycerol) and injected into an evacuated chamber through a small  
30 capillary tube that is maintained at high voltage (6). The solvent liquid must have a low  
vapor pressure so that it will not freeze-dry from rapid evaporation into vacuum.

Solute ions, along with molecules and clusters of solvent are desorbed from the emerging liquid by the high field at its surface and can be mass analyzed. EH, like FD, have not had many practitioners, in part because few liquids that have low vapor pressure are good solvents, and in part because the desorbed ions are usually solvated with one or more molecules of the solvent, and, lastly, because they often have a wide energy distribution. As in FD, the high ion energies in EH require magnetic sector analyzers.

### **What Exactly Is The Mechanism of Electrospray?**

- 10 During World War I, John Zeleny did some experiments in which he passed a small flow of conducting liquid through a metal capillary tube or needle maintained at high potential relative to an opposing counter-electrode. The resulting intense field at the needle tip dispersed the emerging liquid into a fine spray of highly charged droplets. Zeleny also noted that as the droplets evaporated they became unstable and disrupted
- 15 into a multiplicity of smaller droplets. Such instability had been predicted and characterized by Lord Rayleigh in 1883. He argued that evaporation of solvent would increase the charge density on the droplet surface until Coulomb repulsion would overcome the surface tension that held the droplet together.
- 20 The resulting Rayleigh Instability would disrupt the droplets into a multiplicity of smaller droplets. In 1968, Malcolm Dole suggested the small droplets resulting from such a Rayleigh instability would repeat this evaporation-disruption process. Dole's group later reported the production of gas phase ions of intact oligomers of polystyrene with molecular weights up to 500,000. Their approach was similar to Zeleny's, but with
- 25 a few twists. The method was to introduce a dilute solution of the polymer in a volatile solvent through a hypodermic needle (with a conical tip) into a chamber through which nitrogen flowed at atmospheric pressure. A potential difference of several kilovolts between the needle and the chamber walls would produce an intense field at the needle exit and disperse the emerging liquid into a fine jet, yielding a spray of charged droplets
- 30 that would drift down the field toward the end wall of the chamber. The electric field pulls the solvent-analyte mixture outward, away from the needle tip in a similar fashion

as a child pulls a piece of taffy apart. As the electric field overcomes the surface tension of the fluid, a Taylor cone is formed, so named in honor of Sir Geoffrey Ingram Taylor who first calculated the perfect conejet angle of  $98.6^\circ$  in 1964. A jet of fluid is emitted from the apex of the Taylor Cone which breaks up into a series of macro droplets. As the droplets evaporate, their surface charge density would increase until the Coulomb repulsion overcame the surface tension. (This is because like charges accumulate on the surface of the droplet. Since like charges repel one another, this results in instability or droplet fission to occur.) At this stage, the so-called Rayleigh limit, the resulting instability would break up the droplet into a plurality of smaller droplets, each of which would repeat the evaporation-to-instability sequence. If the original solution were sufficiently dilute, this sequence would lead to ultimate droplets small enough to contain only one macromolecule, which would retain some of the droplet charge to become an ion as the last solvent evaporated. What Mr. Dole did not know was that excess solvent was being carried with his target species into the vacuum chamber where adiabatic expansion caused the desolvated ions to be resolvated by the condensation of the solvent onto the ions, in essence creating flying chunks of ice. The resulting mass spectra did not, and could not, match that expected for monomers, dimers, trimers, etc. of the polymer-analyte. Fenn changed the bath gas from co-current to counter-current mode, increasing the desolvation of the ions and permitting full Coulomb Explosion or droplet fission-instability to transpire before being introduced into the high vacuum environment of the mass spectrometer.

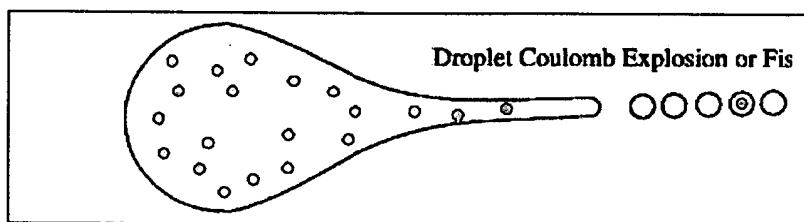




5

The electrostatic field as a result of the applied voltage exerts a pulling force on the surface of the fluid; a point is reached where the force due to the field equals the cohesive force of the fluid, known as the Rayleigh Limit. An increase in the field produces a Taylor Cone and emission of a jet of fluid. This jet breaks up into droplets or strands or fibers depending on the nature of the solution. The analyte may be replaced by a suitable polymer in solvent solution.

10



15

One of the promising processes to produce nano-scale fibers is electrospinning. History of electrospinning process goes back to the early 1930s (Subiah et al., 2005). The main principle in electrospinning as defined by Doshi and Reneker (1995) is to generate a charged jet of polymeric solution by applying an electric field. As the jet travels in the air, the solvent evaporates and a charged fiber is left behind which can be collected on a metal screen. Through this process, mostly a mat of randomly oriented fibers with large surface area and pore sizes (as well as different fiber morphologies and geometries) are fabricated from various polymer solutions, as noted in Deitzel et al (2001). There are recent review articles regarding electrospinning of nanofibers, such as Li and Xia (2004) and Subiah et al. (2005).

25

The resultant fiber size (diameter) and morphology by electrospinning has been studied as they determine several responses of the electrospun mat of fibers. Ata ay et al. (2004), for instance, have investigated the effect of morphology of the electrospun mat of PAN on the resultant hydrophobic behavior. They observed three different morphologies: beads only, beads-on-fibers, and fibers only.

30

Despite relatively early introduction of the electrospinning process (1930's), the effect of the process and material parameters on fiber formation and morphology of the thin film/mat is still under investigation.

[http://www.executive-conference.com/conferences/archives/abstracts2005/nano05\\_abs1c.html](http://www.executive-conference.com/conferences/archives/abstracts2005/nano05_abs1c.html)

The process articulated by Simpson et al is for in vivo or incorporeal scaffolding use.

- 5 The subject invention of Fuerst et al is extra corporeal and is intended for scaffolding, but as a biocompatible, minimally invasive, contact lens. As such, none of the art described in Simpson et al applies to a contact lens. As for the process to make a collagen lens, collagen is a polymer and the practice of electrospinning polymers has been in use since the turn of the 20th century.

10

## IN VIVO UTILIZATION

### BURGESS ET AL

- Burgess, et al., in their claim number 36, which reads (36. The method of claim 1 or 2, wherein said biomedical material is selected from the group consisting of an orthopedic device, a urinary catheter, an intravascular catheter, a suture, a vascular prosthesis, an intraocular lens, a contact lens, a heart valve, a shoulder replacement device, an elbow replacement device, a hip replacement device, a knee replacement device, an artificial heart, a fixation plate, a dental implant, a nasal implant, a breast implant, a testicular implant, a sponge, a film and a bag.) Our claim is to manufacture contact lenses. In
- 15
- 20 claims 1 and 2, Burgess states that their patent is 1. A method of preparing a biomedical device intended for implantation into an animal's body and having a supplemented tissue sealant. This method and process involves tissue sealant. Thus, while a tissue sealant could be added to the surface of a contact lens ( as noted in claim 36), the manufacture of a contact lens using the novel approach of utilizing a solvent to dissolve
- 25 the contact lens material, and then electrospinning this material into a super-porous mat for lathe cutting and/or laser edging is dramatically different.

Thank you for your consideration. We would (John Fenn, Ph.D., Joe Bango, MS, and Randall F. Fuerst, OD) appreciate the opportunity of a meeting to discuss this further.

30

Corresponding Address: 6816 Wild Horse Court, Orangevale, CA 95662-3453;  
Rfuerst@gmail.com

5

## REFERENCES AND NOTES:

- 10 Sureeporn Koombhongse, Wenxia Liu, Darrell H. Reneker; Flat polymer ribbons and other shapes by electrospinning; Journal of Polymer Science Part B: Polymer Physics Volume 39, Issue 21 , Pages 2598 — 2606 Published Online: 20 Sep 2001
- 15 Hao Fong, Darrell H. Reneker; Elastomeric nanofibers of styrene-butadiene-styrene triblock copolymer Journal of Polymer Science Part B: Polymer Physics; Volume 37, Issue 24 , Pages 3488 - 3493 Special Issue: Contributions from the March 1999 Meeting of the American Physical Society - Division of High Polymer Physics, Atlanta, GA . Published Online: 16 Mar 2000
- 20 F. Spivak and Y. A. Dzenis, J. Appl. Mech. 66, 1026 (1999);
- A. L. Yarin, S. Koombhongse, and D. H. Reneker, J. Appl. Phys 90, 4836 (2001)
- F. Spivak, Y. A. Dzenis, and D. H. Reneker, Mech. Res. Comm. 27, 37 (2000); J. J. Feng, J.Non-Newtonian Fluid Mech. 116, 55 (2003)
- 25 L. Yarin, S. Koombhongse, and D. H. Reneker, J. Appl. Phys 89, 3018 (2001); Y.M. Shin et al, Appl. Phys. Letters 78, 1149 (2001)
- 30 Theron, E. Zussman, and A. L. Yarin, Nanotechnology 12, 384 (2001); R. Dersch et al, J. Pol. Sci: A: Pol. Chem. 41, 545 (2003); D. Li, Y. Wang, and Y. Xia, Nano Letters 3,

1167 (2003); An alignment method similar to the one described by Dersch and Li has been-used in Dzenis laboratory at UNL since 2001

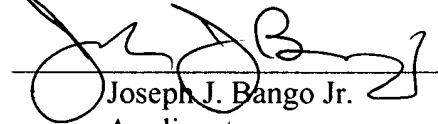
Y. A. Dzenis and G. Larsen, U.S. patent pending (2001); G. Larsen et al, J. A. Chem.  
5 Soc. 125, 1154 (2003);

H. Dai et al, Nanotechnology 13, 674 (2002); S.-S. Choi et al, J. Mat. Sci. Letters 22,  
891 (2003)

10

Respectfully Submitted,

15

  
\_\_\_\_\_  
Joseph J. Bango Jr.  
Applicant,

20

Phone (203) 393-9666  
Fax (203) 393-9777  
Mail Correspondence to:  
Conn. Analytical Corporation  
Attn: Joseph J. Bango, CEO  
696 Amity Road  
Bethany, CT 06524